PHYSICAL THERAPY LICENSURE COMPACT

2 SECTION 1. PURPOSE

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The purpose of this Compact is to facilitate interstate practice of physical therapy with the goal of improving public access to physical therapy services. The practice of physical therapy occurs in the state where the patient/client is located at the time of the patient/client encounter. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

| 8 | This | s Compact is designed to achieve the following objectives: |
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| 9 | 1. | Increase public access to physical therapy services by providing for the mutual |
| 10 | | recognition of other member state licenses; |
| 11 | 2. | Enhance the states' ability to protect the public's health and safety; |
| 12 | 3. | Encourage the cooperation of member states in regulating multi-state physical |
| 13 | | therapy practice; |
| 14 | 4. | Support spouses of relocating military members; |
| 15 | 5. | Enhance the exchange of licensure, investigative, and disciplinary information |
| 16 | | between member states; and |
| 17 | 6. | Allow a remote state to hold a provider of services with a compact privilege in that |
| 18 | | state accountable to that state's practice standards. |
| 19 | SFO | CTION 2. DEFINITIONS |
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| 20 | As used in t | this Compact, and except as otherwise provided, the following definitions shall apply: |
| 21 | | 1. "Active Duty Military" means full-time duty status in the active uniformed |
| 22 | | service of the United States, including members of the National Guard and |
| 23 | | Reserve on active duty orders pursuant to 10 U.S.C. Section 1209 and 1211. |

| 24 | 2. | "Adverse Action" means disciplinary action taken by a physical therapy |
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| 25 | | licensing board based upon misconduct, unacceptable performance, or a |
| 26 | | combination of both. |
| 27 | 3. | "Alternative Program" means a non-disciplinary monitoring or practice |
| 28 | | remediation process approved by a physical therapy licensing board. This |
| 29 | | includes, but is not limited to, substance abuse issues. |
| 30 | 4. | "Compact privilege" means the authorization granted by a remote state to allow |
| 31 | | a licensee from another member state to practice as a physical therapist or work |
| 32 | | as a physical therapist assistant in the remote state under its laws and rules. The |
| 33 | | practice of physical therapy occurs in the member state where the patient/client is |
| 34 | | located at the time of the patient/client encounter. |
| 35 | 5. | "Continuing competence" means a requirement, as a condition of license |
| 36 | | renewal, to provide evidence of participation in, and/or completion of, |
| 37 | | educational and professional activities relevant to practice or area of work. |
| 38 | 6. | "Data system" means a repository of information about licensees, including |
| 39 | | examination, licensure, investigative, compact privilege, and adverse action. |
| 40 | 7. | "Encumbered license" means a license that a physical therapy licensing board |
| 41 | | has limited in any way. |
| 42 | 8. | "Executive Board" means a group of directors elected or appointed to act on |
| 43 | | behalf of, and within the powers granted to them by, the Commission. |
| 44 | 9. | "Home state" means the member state that is the licensee's primary state of |
| 45 | | residence. |

| 46 | 10. "Investigative information" means information, records, and documents |
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| 47 | received or generated by a physical therapy licensing board pursuant to an |
| 48 | investigation. |
| 49 | 11. "Jurisprudence Requirement" means the assessment of an individual's |
| 50 | knowledge of the laws and rules governing the practice of physical therapy in a |
| 51 | state. |
| 52 | 12. "Licensee" means an individual who currently holds an authorization from the |
| 53 | state to practice as a physical therapist or to work as a physical therapist assistant. |
| 54 | 13. "Member state" means a state that has enacted the Compact. |
| 55 | 14. "Party state" means any member state in which a licensee holds a current |
| 56 | license or compact privilege or is applying for a license or compact privilege. |
| 57 | 15. "Physical therapist" means an individual who is licensed by a state to practice |
| 58 | physical therapy. |
| 59 | 16. "Physical therapist assistant" means an individual who is licensed/certified by a |
| 60 | state and who assists the physical therapist in selected components of physical |
| 61 | therapy. |
| 62 | 17. "Physical therapy," "physical therapy practice," and "the practice of |
| 63 | physical therapy" mean the care and services provided by or under the direction |
| 64 | and supervision of a licensed physical therapist. |
| 65 | 18. "Physical Therapy Compact Commission" or "Commission" means the |
| 66 | national administrative body whose membership consists of all states that have |
| 67 | enacted the Compact. |

| 68 | 19. " | Physical therapy licensing board" or "licensing board" means the agency of | | | |
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| 69 | a | state that is responsible for the licensing and regulation of physical therapists | | | |
| 70 | and physical therapist assistants. | | | | |
| 71 | 20. " | Remote State" means a member state other than the home state, where a | | | |
| 72 | li | censee is exercising or seeking to exercise the compact privilege. | | | |
| 73 | 21. " | Rule" means a regulation, principle, or directive promulgated by the | | | |
| 74 | С | ommission that has the force of law. | | | |
| 75 | 22. " | State" means any state, commonwealth, district, or territory of the United | | | |
| 76 | S | tates of America that regulates the practice of physical therapy. | | | |
| 77 | SECTIO | N 3. STATE PARTICIPATION IN THE COMPACT | | | |
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| 78 | A. To | o participate in the Compact, a state must: | | | |
| 79 | 1. | Participate fully in the Commission's data system, including using the | | | |
| 80 | | Commission's unique identifier as defined in rules; | | | |
| 81 | 2. | Have a mechanism in place for receiving and investigating complaints | | | |
| 82 | | about licensees; | | | |
| 83 | 3. | Notify the Commission, in compliance with the terms of the Compact and | | | |
| 84 | | rules, of any adverse action or the availability of investigative information | | | |
| 85 | | regarding a licensee; | | | |
| 86 | 4. | Fully implement a criminal background check requirement, within a time | | | |
| 87 | | frame established by rule, by receiving the results of the Federal Bureau of | | | |
| 88 | | Investigation record search on criminal background checks and use the | | | |
| 89 | | results in making licensure decisions in accordance with Section 3.B.; | | | |
| 90 | 5. | Comply with the rules of the Commission; | | | |

| 91 | 6. | Utilize a recognized national examination as a requirement for licensure |
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| 92 | | pursuant to the rules of the Commission; and |
| 93 | 7. | Have continuing competence requirements as a condition for license |
| 94 | | renewal. |
| 95 | B. Upon adoj | ption of this statute, the member state shall have the authority to obtain |
| 96 | biometric-based infor | mation from each physical therapy licensure applicant and submit this |
| 97 | information to the Fee | deral Bureau of Investigation for a criminal background check in accordance |
| 98 | with 28 U.S.C. §534 | and 42 U.S.C. §14616. |
| 99 | C. A member | state shall grant the compact privilege to a licensee holding a valid |
| 100 | unencumbered license | e in another member state in accordance with the terms of the Compact and |
| 101 | rules. | |
| 102 | D. Member s | states may charge a fee for granting a compact privilege |
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| 103 104 | SECTION 4 | . COMPACT PRIVILEGE |
| | | • COMPACT PRIVILEGE tercise the compact privilege under the terms and provisions of the Compact, |
| 104 | | ercise the compact privilege under the terms and provisions of the Compact, |
| 104 105 | A. To ex | ercise the compact privilege under the terms and provisions of the Compact, |
| 104 105 106 | A. To ex the licensee sh | ercise the compact privilege under the terms and provisions of the Compact, nall: |
| 104 105 106 107 | A. To ex the licensee sh 1. | ercise the compact privilege under the terms and provisions of the Compact, nall: Hold a license in the home state; |
| 104 105 106 107 108 | A. To ex the licensee sh 1. 2. | There is the compact privilege under the terms and provisions of the Compact, mall: Hold a license in the home state; Have no encumbrance on any state license; |
| 104 105 106 107 108 109 | A. To ex the licensee sh 1. 2. | A service the compact privilege under the terms and provisions of the Compact, A hall: A hold a license in the home state; A have no encumbrance on any state license; Be eligible for a compact privilege in any member state in accordance |
| 104 105 106 107 108 109 110 | A. To extra the licensee shows 1. 2. 3. | and provisions of the Compact, anall: Hold a license in the home state; Have no encumbrance on any state license; Be eligible for a compact privilege in any member state in accordance with Section 4D, G and H; |
| 104 105 106 107 108 109 110 111 | A. To extra the licensee shows 1. 2. 3. | anall: Hold a license in the home state; Have no encumbrance on any state license; Be eligible for a compact privilege in any member state in accordance with Section 4D, G and H; Have not had any adverse action against any license or compact privilege |
| 104 105 106 107 108 109 110 111 112 | A. To extra the licensee shows 1. 2. 3. 4. | A service the compact privilege under the terms and provisions of the Compact, anall: Hold a license in the home state; Have no encumbrance on any state license; Be eligible for a compact privilege in any member state in accordance with Section 4D, G and H; Have not had any adverse action against any license or compact privilege within the previous 2 years; |

| 115 | 6. Pay any applicable fees, including any state fee, for the compact |
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| 116 | privilege; |
| 117 | 7. Meet any jurisprudence requirements established by the remote state(s) in |
| 118 | which the licensee is seeking a compact privilege; and |
| 119 | 8. Report to the Commission adverse action taken by any non-member state |
| 120 | within 30 days from the date the adverse action is taken. |
| 121 | B. The compact privilege is valid until the expiration date of the home license. The |
| 122 | licensee must comply with the requirements of Section 4.A. to maintain the compact |
| 123 | privilege in the remote state. |
| 124 | C. A licensee providing physical therapy in a remote state under the compact |
| 125 | privilege shall function within the laws and regulations of the remote state. |
| 126 | D. A licensee providing physical therapy in a remote state is subject to that state's |
| 127 | regulatory authority. A remote state may, in accordance with due process and that state's |
| 128 | laws, remove a licensee's compact privilege in the remote state for a specific period of |
| 129 | time, impose fines, and/or take any other necessary actions to protect the health and |
| 130 | safety of its citizens. The licensee is not eligible for a compact privilege in any state until |
| 131 | the specific time for removal has passed and all fines are paid. |
| 132 | E. If a home state license is encumbered, the licensee shall lose the compact |
| 133 | privilege in any remote state until the following occur: |
| 134 | 1. The home state license is no longer encumbered; and |
| 135 | 2. Two years have elapsed from the date of the adverse action. |
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F. 136 Once an encumbered license in the home state is restored to good standing, the 137 licensee must meet the requirements of Section 4A to obtain a compact privilege in any remote state. 138 G. If a licensee's compact privilege in any remote state is removed, the individual 139 shall lose the compact privilege in any remote state until the following occur: 140 1. The specific period of time for which the compact privilege was removed 141 has ended; 142 2. All fines have been paid; and 143 Two years have elapsed from the date of the adverse action. 144 3. H. Once the requirements of Section 4G have been met, the license must meet the 145 requirements in Section 4A to obtain a compact privilege in a remote state. 146 SECTION 5. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES 147 A licensee who is active duty military or is the spouse of an individual who is active duty 148 military may designate one of the following as the home state: 149 150 A. Home of record; B. Permanent Change of Station (PCS); or 151 C. State of current residence if it is different than the PCS state or home of record. 152 153 **SECTION 6. ADVERSE ACTIONS** A home state shall have exclusive power to impose adverse action against a A. 154 license issued by the home state. 155 B. A home state may take adverse action based on the investigative information of a 156 remote state, so long as the home state follows its own procedures for imposing 157 158 adverse action.

| 159 | C. | Nothing in this Compact shall override a member state's decision that | | | |
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| 160 | | participation in an alternative program may be used in lieu of adverse action and | | | |
| 161 | | that such participation shall remain non-public if required by the member state's | | | |
| 162 | | laws. Member states must require licensees who enter any alternative programs in | | | |
| 163 | | lieu of discipline to agree not to practice in any other member state during the | | | |
| 164 | | term of the alternative program without prior authorization from such other | | | |
| 165 | | member state. | | | |
| 166 | D. | Any member state may investigate actual or alleged violations of the statutes and | | | |
| 167 | | rules authorizing the practice of physical therapy in any other member state in | | | |
| 168 | | which a physical therapist or physical therapist assistant holds a license or | | | |
| 169 | | compact privilege. | | | |
| 170 | E. | A remote state shall have the authority to: | | | |
| 171 | | 1. Take adverse actions as set forth in Section 4.D. against a licensee's | | | |
| 172 | | compact privilege in the state; | | | |
| 173 | | 2. Issue subpoenas for both hearings and investigations that require the | | | |
| 174 | | attendance and testimony of witnesses, and the production of evidence. | | | |
| 175 | | Subpoenas issued by a physical therapy licensing board in a party state for | | | |
| 176 | | the attendance and testimony of witnesses, and/or the production of | | | |
| 177 | | evidence from another party state, shall be enforced in the latter state by | | | |
| 178 | | any court of competent jurisdiction, according to the practice and | | | |
| 179 | | procedure of that court applicable to subpoenas issued in proceedings | | | |
| 180 | | pending before it. The issuing authority shall pay any witness fees, travel | | | |

| 181 | | expenses, mileage, and other fees required by the service statutes of the |
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| 182 | | state where the witnesses and/or evidence are located; and |
| 183 | 3. | If otherwise permitted by state law, recover from the licensee the costs of |
| 184 | | investigations and disposition of cases resulting from any adverse action |
| 185 | | taken against that licensee. |
| 186 | F. Joint | Investigations |
| 187 | 1. | In addition to the authority granted to a member state by its respective |
| 188 | | physical therapy practice act or other applicable state law, a member state |
| 189 | | may participate with other member states in joint investigations of |
| 190 | | licensees. |
| 191 | 2. | Member states shall share any investigative, litigation, or compliance |
| 192 | | materials in furtherance of any joint or individual investigation initiated |
| 193 | | under the Compact. |
| 194 | SECTION 7 | . ESTABLISHMENT OF THE PHYSICAL THERAPY COMPACT |
| 195 | COMMISSI | |
| 196 | A. The Com | pact member states hereby create and establish a joint public agency known |
| 197 | as the Ph | ysical Therapy Compact Commission: |
| 198 | 1. | The Commission is an instrumentality of the Compact states. |
| 199 | 2. | Venue is proper and judicial proceedings by or against the Commission |
| 200 | | shall be brought solely and exclusively in a court of competent jurisdiction |
| 201 | | where the principal office of the Commission is located. The Commission |
| 202 | | may waive venue and jurisdictional defenses to the extent it adopts or |
| 203 | | consents to participate in alternative dispute resolution proceedings. |
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| 204 | | 3. | Nothing in this Compact shall be construed to be a waiver of sovereign |
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| 205 | | | immunity. |
| 206 | B. | Mem | bership, Voting, and Meetings |
| 207 | | 1. | Each member state shall have and be limited to one (1) delegate selected |
| 208 | | | by that member state's licensing board. |
| 209 | | 2. | The delegate shall be a current member of the licensing board, who is a |
| 210 | | | physical therapist, physical therapist assistant, public member, or the |
| 211 | | | board administrator. |
| 212 | | 3. | Any delegate may be removed or suspended from office as provided by |
| 213 | | | the law of the state from which the delegate is appointed. |
| 214 | | 4. | The member state board shall fill any vacancy occurring in the |
| 215 | | | Commission. |
| 216 | | 5. | Each delegate shall be entitled to one (1) vote with regard to the |
| 217 | | | promulgation of rules and creation of bylaws and shall otherwise have an |
| 218 | | | opportunity to participate in the business and affairs of the Commission. |
| 219 | | 6. | A delegate shall vote in person or by such other means as provided in the |
| 220 | | | bylaws. The bylaws may provide for delegates' participation in meetings |
| 221 | | | by telephone or other means of communication. |
| 222 | | 7. | The Commission shall meet at least once during each calendar year. |
| 223 | | | Additional meetings shall be held as set forth in the bylaws. |
| 224 | C. | The | Commission shall have the following powers and duties: |
| 225 | | 1. | Establish the fiscal year of the Commission; |
| 226 | | 2. | Establish bylaws; |

| 227 | 3. | Maintain its financial records in accordance with the bylaws; |
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| 228 | 4. | Meet and take such actions as are consistent with the provisions of this |
| 229 | | Compact and the bylaws; |
| 230 | 5. | Promulgate uniform rules to facilitate and coordinate implementation and |
| 231 | | administration of this Compact. The rules shall have the force and effect |
| 232 | | of law and shall be binding in all member states; |
| 233 | 6. | Bring and prosecute legal proceedings or actions in the name of the |
| 234 | | Commission, provided that the standing of any state physical therapy |
| 235 | | licensing board to sue or be sued under applicable law shall not be |
| 236 | | affected; |
| 237 | 7. | Purchase and maintain insurance and bonds; |
| 238 | 8. | Borrow, accept, or contract for services of personnel, including, but not |
| 239 | | limited to, employees of a member state; |
| 240 | 9. | Hire employees, elect or appoint officers, fix compensation, define duties, |
| 241 | | grant such individuals appropriate authority to carry out the purposes of |
| 242 | | the Compact, and to establish the Commission's personnel policies and |
| 243 | | programs relating to conflicts of interest, qualifications of personnel, and |
| 244 | | other related personnel matters; |
| 245 | 10. | Accept any and all appropriate donations and grants of money, equipment, |
| 246 | | supplies, materials and services, and to receive, utilize and dispose of the |
| 247 | | same; provided that at all times the Commission shall avoid any |
| 248 | | appearance of impropriety and/or conflict of interest; |

| 249 | 11 | 1. | Lease, purchase, accept appropriate gifts or donations of, or otherwise to |
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| 250 | | | own, hold, improve or use, any property, real, personal or mixed; provided |
| 251 | | | that at all times the Commission shall avoid any appearance of |
| 252 | | | impropriety; |
| 253 | 12 | 2. | Sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise |
| 254 | | | dispose of any property real, personal, or mixed; |
| 255 | 13 | 3. | Establish a budget and make expenditures; |
| 256 | 14 | 4. | Borrow money; |
| 257 | 15 | 5. | Appoint committees, including standing committees comprised of |
| 258 | | | members, state regulators, state legislators or their representatives, and |
| 259 | | | consumer representatives, and such other interested persons as may be |
| 260 | | | designated in this Compact and the bylaws; |
| 261 | 16 | 6. | Provide and receive information from, and cooperate with, law |
| 262 | | | enforcement agencies; |
| 263 | 17 | 7. | Establish and elect an Executive Board; and |
| 264 | 18 | 8. | Perform such other functions as may be necessary or appropriate to |
| 265 | | | achieve the purposes of this Compact consistent with the state regulation |
| 266 | | | of physical therapy licensure and practice. |
| 267 | D. Tł | he Ex | xecutive Board |
| 268 | The Exec | cutive | e Board shall have the power to act on behalf of the Commission according |
| 269 | to the terms of th | nis Co | ompact |
| 270 | 1. | | The Executive Board shall be comprised of nine members: |

| 271 | a. | Seven voting members who are elected by the Commission from the |
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| 272 | | current membership of the Commission; |
| 273 | b. | One ex-officio, nonvoting member from the recognized national physical |
| 274 | | therapy professional association; and |
| 275 | c. | One ex-officio, nonvoting member from the recognized membership |
| 276 | | organization of the physical therapy licensing boards. |
| 277 | 2. | The ex-officio members will be selected by their respective organizations. |
| 278 | 3. | The Commission may remove any member of the Executive Board as |
| 279 | | provided in bylaws. |
| 280 | 4. | The Executive Board shall meet at least annually. |
| 281 | 5. | The Executive Board shall have the following Duties and responsibilities: |
| 282 | a. | Recommend to the entire Commission changes to the rules or bylaws, |
| 283 | | changes to this Compact legislation, fees paid by Compact member states |
| 284 | | such as annual dues, and any commission Compact fee charged to |
| 285 | | licensees for the compact privilege; |
| 286 | b. | Ensure Compact administration services are appropriately provided, |
| 287 | | contractual or otherwise; |
| 288 | c. | Prepare and recommend the budget; |
| 289 | d. | Maintain financial records on behalf of the Commission; |
| 290 | e. | Monitor Compact compliance of member states and provide compliance |
| 291 | | reports to the Commission; |
| 292 | f. | Establish additional committees as necessary; and |
| 293 | g. | Other duties as provided in rules or bylaws. |
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| 294 | E. | Meeti | ngs of the Commission |
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| 295 | | 1. | All meetings shall be open to the public, and public notice of meetings |
| 296 | | | shall be given in the same manner as required under the rulemaking |
| 297 | | | provisions in Section 9. |
| 298 | | 2. | The Commission or the Executive Board or other committees of the |
| 299 | | | Commission may convene in a closed, non-public meeting if the |
| 300 | | | Commission or Executive Board or other committees of the Commission |
| 301 | | | must discuss: |
| 302 | | a. | Non-compliance of a member state with its obligations under the |
| 303 | | | Compact; |
| 304 | | b. | The employment, compensation, discipline or other matters, practices or |
| 305 | | | procedures related to specific employees or other matters related to the |
| 306 | | | Commission's internal personnel practices and procedures; |
| 307 | | c. | Current, threatened, or reasonably anticipated litigation; |
| 308 | | d. | Negotiation of contracts for the purchase, lease, or sale of goods, |
| 309 | | | services, or real estate; |
| 310 | | e. | Accusing any person of a crime or formally censuring any person; |
| 311 | | f. | Disclosure of trade secrets or commercial or financial information that is |
| 312 | | | privileged or confidential; |
| 313 | | g. | Disclosure of information of a personal nature where disclosure would |
| 314 | | | constitute a clearly unwarranted invasion of personal privacy; |
| 315 | | h. | Disclosure of investigative records compiled for law enforcement |
| 316 | | | purposes; |

| 317 | | i. | Disclosure of information related to any investigative reports prepared by |
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| 318 | | | or on behalf of or for use of the Commission or other committee charged |
| 319 | | | with responsibility of investigation or determination of compliance issues |
| 320 | | | pursuant to the Compact; or |
| 321 | | j. | Matters specifically exempted from disclosure by federal or member state |
| 322 | | | statute. |
| 323 | | 3. | If a meeting, or portion of a meeting, is closed pursuant to this provision, |
| 324 | | | the Commission's legal counsel or designee shall certify that the meeting |
| 325 | | | may be closed and shall reference each relevant exempting provision. |
| 326 | | 4. | The Commission shall keep minutes that fully and clearly describe all |
| 327 | | | matters discussed in a meeting and shall provide a full and accurate |
| 328 | | | summary of actions taken, and the reasons therefore, including a |
| 329 | | | description of the views expressed. All documents considered in |
| 330 | | | connection with an action shall be identified in such minutes. All minutes |
| 331 | | | and documents of a closed meeting shall remain under seal, subject to |
| 332 | | | release by a majority vote of the Commission or order of a court of |
| 333 | | | competent jurisdiction. |
| 334 | F. | Finan | cing of the Commission |
| 335 | | 1. | The Commission shall pay, or provide for the payment of, the reasonable |
| 336 | | | expenses of its establishment, organization, and ongoing activities. |
| 337 | | 2. | The Commission may accept any and all appropriate revenue sources, |
| 338 | | | donations, and grants of money, equipment, supplies, materials, and |
| 339 | | | services. |
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| 340 | | 3. | The Commission may levy on and collect an annual assessment from each |
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| 341 | | | member state or impose fees on other parties to cover the cost of the |
| 342 | | | operations and activities of the Commission and its staff, which must be in |
| 343 | | | a total amount sufficient to cover its annual budget as approved each year |
| 344 | | | for which revenue is not provided by other sources. The aggregate annual |
| 345 | | | assessment amount shall be allocated based upon a formula to be |
| 346 | | | determined by the Commission, which shall promulgate a rule binding |
| 347 | | | upon all member states. |
| 348 | | 4. | The Commission shall not incur obligations of any kind prior to securing |
| 349 | | | the funds adequate to meet the same; nor shall the Commission pledge the |
| 350 | | | credit of any of the member states, except by and with the authority of the |
| 351 | | | member state. |
| 352 | | 5. | The Commission shall keep accurate accounts of all receipts and |
| 353 | | | disbursements. The receipts and disbursements of the Commission shall be |
| 354 | | | subject to the audit and accounting procedures established under its |
| 355 | | | bylaws. However, all receipts and disbursements of funds handled by the |
| 356 | | | Commission shall be audited yearly by a certified or licensed public |
| 357 | | | accountant, and the report of the audit shall be included in and become |
| 358 | | | part of the annual report of the Commission. |
| 359 | G. | Quali | fied Immunity, Defense, and Indemnification |
| 360 | | 1. | The members, officers, executive director, employees and representatives |
| 361 | | | of the Commission shall be immune from suit and liability, either |
| 362 | | | personally or in their official capacity, for any claim for damage to or loss |

| 363 | | of property or personal injury or other civil liability caused by or arising |
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| 364 | | out of any actual or alleged act, error or omission that occurred, or that the |
| 365 | | person against whom the claim is made had a reasonable basis for |
| 366 | | believing occurred within the scope of Commission employment, duties or |
| 367 | | responsibilities; provided that nothing in this paragraph shall be construed |
| 368 | | to protect any such person from suit and/or liability for any damage, loss, |
| 369 | | injury, or liability caused by the intentional or willful or wanton |
| 370 | | misconduct of that person. |
| 371 | 2. | The Commission shall defend any member, officer, executive director, |
| 372 | | employee or representative of the Commission in any civil action seeking |
| 373 | | to impose liability arising out of any actual or alleged act, error, or |
| 374 | | omission that occurred within the scope of Commission employment, |
| 375 | | duties, or responsibilities, or that the person against whom the claim is |
| 376 | | made had a reasonable basis for believing occurred within the scope of |
| 377 | | Commission employment, duties, or responsibilities; provided that nothing |
| 378 | | herein shall be construed to prohibit that person from retaining his or her |
| 379 | | own counsel; and provided further, that the actual or alleged act, error, or |
| 380 | | omission did not result from that person's intentional or willful or wanton |
| 381 | | misconduct. |
| 382 | 3. | The Commission shall indemnify and hold harmless any member, officer, |
| 383 | | executive director, employee, or representative of the Commission for the |
| 384 | | amount of any settlement or judgment obtained against that person arising |
| 385 | | out of any actual or alleged act, error or omission that occurred within the |

| 386 | | | scope of Commission employment, duties, or responsibilities, or that such |
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| 387 | | | person had a reasonable basis for believing occurred within the scope of |
| 388 | | | Commission employment, duties, or responsibilities, provided that the |
| 389 | | | actual or alleged act, error, or omission did not result from the intentional |
| 390 | | | or willful or wanton misconduct of that person. |
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| 392 | SEC | TION 8. | DATA SYSTEM |
| 393 | А. | The C | ommission shall provide for the development, maintenance, and utilization |
| 394 | of a coordina | ated data | base and reporting system containing licensure, adverse action, and |
| 395 | investigative | informa | tion on all licensed individuals in member states. |
| 396 | В. | Notwi | thstanding any other provision of state law to the contrary, a member state |
| 397 | shall submit | a unifor | m data set to the data system on all individuals to whom this Compact is |
| 398 | applicable as | require | d by the rules of the Commission, including: |
| 399 | | 1. | Identifying information; |
| 400 | | 2. | Licensure data; |
| 401 | | 3. | Adverse actions against a license or compact privilege; |
| 402 | | 4. | Non-confidential information related to alternative program participation; |
| 403 | | 5. | Any denial of application for licensure, and the reason(s) for such denial; |
| 404 | | | and |
| 405 | | 6. | Other information that may facilitate the administration of this Compact, |
| 406 | | | as determined by the rules of the Commission. |
| 407 | C. | Invest | igative information pertaining to a licensee in any member state will only be |
| 408 | available to | other pa | urty states. |

D. The Commission shall promptly notify all member states of any adverse action
taken against a licensee or an individual applying for a license. Adverse action information
pertaining to a licensee in any member state will be available to any other member state.

E. Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

F. Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

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SECTION 9. RULEMAKING

A. The Commission shall exercise its rulemaking powers pursuant to the criteria set
forth in this Section and the rules adopted thereunder. Rules and amendments shall become
binding as of the date specified in each rule or amendment.

B. If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.

426 C. Rules or amendments to the rules shall be adopted at a regular or special meeting427 of the Commission.

D. Prior to promulgation and adoption of a final rule or rules by the Commission, and at least thirty (30) days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a Notice of Proposed Rulemaking:

| 431 | | 1. | On the website of the Commission or other publicly accessible platform; |
|-----|---------------|----------|---|
| 432 | | | and |
| 433 | | 2. | On the website of each member state physical therapy licensing board or |
| 434 | | | other publicly accessible platform or the publication in which each state |
| 435 | | | would otherwise publish proposed rules. |
| 436 | E. | The N | Notice of Proposed Rulemaking shall include: |
| 437 | | 1. | The proposed time, date, and location of the meeting in which the rule will |
| 438 | | | be considered and voted upon; |
| 439 | | 2. | The text of the proposed rule or amendment and the reason for the |
| 440 | | | proposed rule; |
| 441 | | 3. | A request for comments on the proposed rule from any interested person; |
| 442 | | | and |
| 443 | | 4. | The manner in which interested persons may submit notice to the |
| 444 | | | Commission of their intention to attend the public hearing and any written |
| 445 | | | comments. |
| 446 | F. | Prior | to adoption of a proposed rule, the Commission shall allow persons to |
| 447 | submit writte | n data, | facts, opinions, and arguments, which shall be made available to the public. |
| 448 | G. | The C | Commission shall grant an opportunity for a public hearing before it adopts a |
| 449 | rule or amend | lment if | f a hearing is requested by: |
| 450 | | 1. | At least twenty-five (25) persons; |
| 451 | | 2. | A state or federal governmental subdivision or agency; or |
| 452 | | 3. | An association having at least twenty-five (25) members. |

| 453 | Н. | If a h | earing is held on the proposed rule or amendment, the Commission shall | |
|-----|---|----------|--|--|
| 454 | publish the place, time, and date of the scheduled public hearing. If the hearing is held via | | | |
| 455 | electronic me | eans, th | e Commission shall publish the mechanism for access to the electronic | |
| 456 | hearing. | | | |
| 457 | | 1. | All persons wishing to be heard at the hearing shall notify the executive | |
| 458 | | | director of the Commission or other designated member in writing of their | |
| 459 | | | desire to appear and testify at the hearing not less than five (5) business | |
| 460 | | | days before the scheduled date of the hearing. | |
| 461 | | 2. | Hearings shall be conducted in a manner providing each person who | |
| 462 | | | wishes to comment a fair and reasonable opportunity to comment orally or | |
| 463 | | | in writing. | |
| 464 | | 3. | All hearings will be recorded. A copy of the recording will be made | |
| 465 | | | available on request. | |
| 466 | | 4. | Nothing in this section shall be construed as requiring a separate hearing | |
| 467 | | | on each rule. Rules may be grouped for the convenience of the | |
| 468 | | | Commission at hearings required by this section. | |
| 469 | I. | Follo | wing the scheduled hearing date, or by the close of business on the | |
| 470 | scheduled he | aring d | ate if the hearing was not held, the Commission shall consider all written and | |
| 471 | oral commen | ts recei | ved. | |
| 472 | J. | If no | written notice of intent to attend the public hearing by interested parties is | |
| 473 | received, the | Comm | ission may proceed with promulgation of the proposed rule without a public | |
| 474 | hearing. | | | |

K. The Commission shall, by majority vote of all members, take final action on the
proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking
record and the full text of the rule.

L. Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than ninety (90) days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:

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1. Meet an imminent threat to public health, safety, or welfare;

485 2. Prevent a loss of Commission or member state funds;

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488

4. Protect public health and safety.

489 M. The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical 490 errors, errors in format, errors in consistency, or grammatical errors. Public notice of any 491 revisions shall be posted on the website of the Commission. The revision shall be subject to 492 challenge by any person for a period of thirty (30) days after posting. The revision may be 493 challenged only on grounds that the revision results in a material change to a rule. A challenge 494 shall be made in writing, and delivered to the chair of the Commission prior to the end of the 495 notice period. If no challenge is made, the revision will take effect without further action. If the 496 497 revision is challenged, the revision may not take effect without the approval of the Commission.

SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

| 499 | А. | Oversi | ght |
|-----|----|--------|---|
| 500 | | 1. | The executive, legislative, and judicial branches of state government in |
| 501 | | | each member state shall enforce this Compact and take all actions |
| 502 | | | necessary and appropriate to effectuate the Compact's purposes and intent. |
| 503 | | | The provisions of this Compact and the rules promulgated hereunder shall |
| 504 | | | have standing as statutory law. |
| 505 | | 2. | All courts shall take judicial notice of the Compact and the rules in any |
| 506 | | | judicial or administrative proceeding in a member state pertaining to the |
| 507 | | | subject matter of this Compact which may affect the powers, |
| 508 | | | responsibilities or actions of the Commission. |
| 509 | | 3. | The Commission shall be entitled to receive service of process in any such |
| 510 | | | proceeding, and shall have standing to intervene in such a proceeding for |
| 511 | | | all purposes. Failure to provide service of process to the Commission shall |
| 512 | | | render a judgment or order void as to the Commission, this Compact, or |
| 513 | | | promulgated rules. |
| 514 | B. | Defaul | t, Technical Assistance, and Termination |
| 515 | | 1. | If the Commission determines that a member state has defaulted in the |
| 516 | | | performance of its obligations or responsibilities under this Compact or |
| 517 | | | the promulgated rules, the Commission shall: |
| 518 | | a. | Provide written notice to the defaulting state and other member states of |
| 519 | | | the nature of the default, the proposed means of curing the default and/or |
| 520 | | | any other action to be taken by the Commission; and |

| 521 | b. | Provide remedial training and specific technical assistance regarding the |
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| 522 | | default. |
| 523 | 2. | If a state in default fails to cure the default, the defaulting state may be |
| 524 | | terminated from the Compact upon an affirmative vote of a majority of the |
| 525 | | member states, and all rights, privileges and benefits conferred by this |
| 526 | | Compact may be terminated on the effective date of termination. A cure of |
| 527 | | the default does not relieve the offending state of obligations or liabilities |
| 528 | | incurred during the period of default. |
| 529 | 3. | Termination of membership in the Compact shall be imposed only after all |
| 530 | | other means of securing compliance have been exhausted. Notice of intent |
| 531 | | to suspend or terminate shall be given by the Commission to the governor, |
| 532 | | the majority and minority leaders of the defaulting state's legislature, and |
| 533 | | each of the member states. |
| 534 | 4. | A state that has been terminated is responsible for all assessments, |
| 535 | | obligations, and liabilities incurred through the effective date of |
| 536 | | termination, including obligations that extend beyond the effective date of |
| 537 | | termination. |
| 538 | 5. | The Commission shall not bear any costs related to a state that is found to |
| 539 | | be in default or that has been terminated from the Compact, unless agreed |
| 540 | | upon in writing between the Commission and the defaulting state. |
| 541 | 6. | The defaulting state may appeal the action of the Commission by |
| 542 | | petitioning the U.S. District Court for the District of Columbia or the |
| 543 | | federal district where the Commission has its principal offices. The |

| 544 | | | prevailing member shall be awarded all costs of such litigation, including |
|-----|----|-------|---|
| 545 | | | reasonable attorney's fees. |
| 546 | C. | Dispu | ite Resolution |
| 547 | | 1. | Upon request by a member state, the Commission shall attempt to resolve |
| 548 | | | disputes related to the Compact that arise among member states and |
| 549 | | | between member and non-member states. |
| 550 | | 2. | The Commission shall promulgate a rule providing for both mediation and |
| 551 | | | binding dispute resolution for disputes as appropriate. |
| 552 | D. | Enfor | reement |
| 553 | | 1. | The Commission, in the reasonable exercise of its discretion, shall enforce |
| 554 | | | the provisions and rules of this Compact. |
| 555 | | 2. | By majority vote, the Commission may initiate legal action in the United |
| 556 | | | States District Court for the District of Columbia or the federal district |
| 557 | | | where the Commission has its principal offices against a member state in |
| 558 | | | default to enforce compliance with the provisions of the Compact and its |
| 559 | | | promulgated rules and bylaws. The relief sought may include both |
| 560 | | | injunctive relief and damages. In the event judicial enforcement is |
| 561 | | | necessary, the prevailing member shall be awarded all costs of such |
| 562 | | | litigation, including reasonable attorney's fees. |
| 563 | | 3. | The remedies herein shall not be the exclusive remedies of the |
| 564 | | | Commission. The Commission may pursue any other remedies available |
| 565 | | | under federal or state law. |

566 SECTION 11. DATE OF IMPLEMENTATION OF THE INTERSTATE 567 COMMISSION FOR PHYSICAL THERAPY PRACTICE AND ASSOCIATED 568 RULES, WITHDRAWAL, AND AMENDMENT

The Compact shall come into effect on the date on which the Compact statute is 569 A. enacted into law in the tenth member state. The provisions, which become effective at that time, 570 571 shall be limited to the powers granted to the Commission relating to assembly and the 572 promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers 573 necessary to the implementation and administration of the Compact. B. 574 Any state that joins the Compact subsequent to the Commission's initial adoption 575 of the rules shall be subject to the rules as they exist on the date on which the Compact becomes 576 law in that state. Any rule that has been previously adopted by the Commission shall have the 577 full force and effect of law on the day the Compact becomes law in that state. C. Any member state may withdraw from this Compact by enacting a statute 578 579 repealing the same. 580 1. A member state's withdrawal shall not take effect until six (6) months after enactment of the repealing statute. 581 2. Withdrawal shall not affect the continuing requirement of the withdrawing 582 state's physical therapy licensing board to comply with the investigative 583 and adverse action reporting requirements of this act prior to the effective 584 date of withdrawal. 585 D. Nothing contained in this Compact shall be construed to invalidate or prevent any 586 physical therapy licensure agreement or other cooperative arrangement between a member state 587 588 and a non-member state that does not conflict with the provisions of this Compact.

E. This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

592 SECTION 12. CONSTRUCTION AND SEVERABILITY

This Compact shall be liberally construed so as to effectuate the purposes thereof. The 593 594 provisions of this Compact shall be severable and if any phrase, clause, sentence or provision 595 of this Compact is declared to be contrary to the constitution of any party state or of the United States or the applicability thereof to any government, agency, person or circumstance 596 is held invalid, the validity of the remainder of this Compact and the applicability thereof to 597 any government, agency, person or circumstance shall not be affected thereby. If this 598 Compact shall be held contrary to the constitution of any party state, the Compact shall 599 remain in full force and effect as to the remaining party states and in full force and effect as 600 to the party state affected as to all severable matters. 601